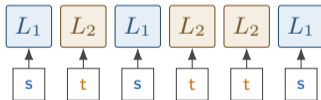


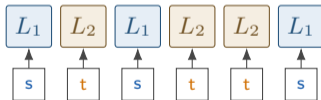
# Shuffles of Context-Free Languages along Regular Trajectories

Barloy–Cadilhac–Ockerlund



# Shuffles of Context-Free Languages along Regular Trajectories

Barloy–Cadilhac–Ockerlund



Which regular trajectories preserve context-freeness?

# Where we are

1 Trajectories

2 Couplings

3 Consequences for  $\text{CFL}'$

4 Consequences for  $\text{DCFL}'$

# Trajectories schedule two words

$$L_1 = \{a^n b^n c^m : n, m \geq 1\}$$

$$L_2 = \{x^m y^n z^n : m, n \geq 1\}$$

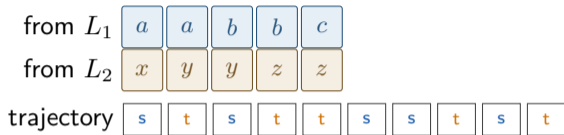
from $L_1$	<table border="1"><tr><td><i>a</i></td><td><i>a</i></td><td><i>b</i></td><td><i>b</i></td><td><i>c</i></td></tr></table>	<i>a</i>	<i>a</i>	<i>b</i>	<i>b</i>	<i>c</i>
<i>a</i>	<i>a</i>	<i>b</i>	<i>b</i>	<i>c</i>		
from $L_2$	<table border="1"><tr><td><i>x</i></td><td><i>y</i></td><td><i>y</i></td><td><i>z</i></td><td><i>z</i></td></tr></table>	<i>x</i>	<i>y</i>	<i>y</i>	<i>z</i>	<i>z</i>
<i>x</i>	<i>y</i>	<i>y</i>	<i>z</i>	<i>z</i>		

# Trajectories schedule two words

$$L_1 = \{a^n b^n c^m : n, m \geq 1\}$$

$$L_2 = \{x^m y^n z^n : m, n \geq 1\}$$

trajectory word  $c =$  source schedule

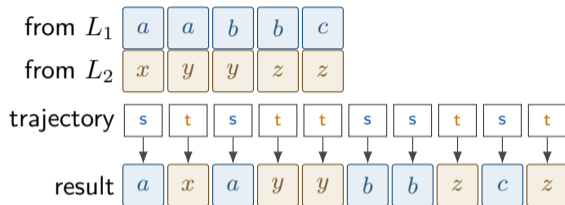


# Trajectories schedule two words

$$L_1 = \{a^n b^n c^m : n, m \geq 1\}$$

$$L_2 = \{x^m y^n z^n : m, n \geq 1\}$$

trajectory word  $c =$  source schedule



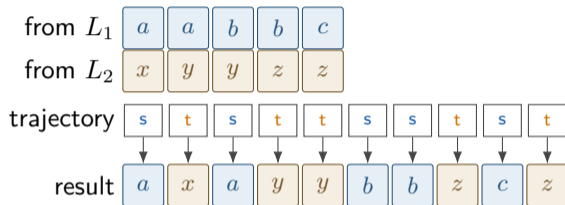
# Trajectories schedule two words

$$L_1 = \{a^n b^n c^m : n, m \geq 1\}$$

$$L_2 = \{x^m y^n z^n : m, n \geq 1\}$$

trajectory word  $c =$  source schedule

$$u \sqcup_c v$$

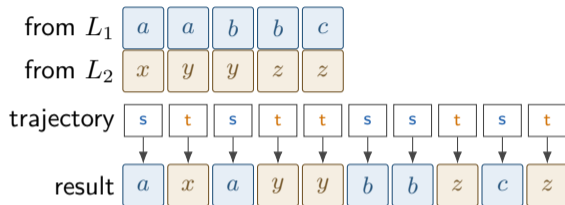


# Trajectories schedule two words

$$L_1 = \{a^n b^n c^m : n, m \geq 1\}$$

$$L_2 = \{x^m y^n z^n : m, n \geq 1\}$$

trajectory word  $c =$  source schedule



$$u \sqcup_c v$$

$$L_1 \sqcup_T L_2 = \{u \sqcup_c v : u \in L_1, v \in L_2, c \in T\}$$

$T$  regular language over  $\{s, t\}$

# Three trajectories to keep in mind

$s^*t^*$



concatenation

# Three trajectories to keep in mind

$s^*t^*$



concatenation

$(st)^*$



strict alternation

# Three trajectories to keep in mind

$s^*t^*$



concatenation

$(st)^*$



strict alternation

$(s+t)^*$



full shuffle

# Three trajectories to keep in mind

$s^*t^*$



concatenation

$(st)^*$



strict alternation

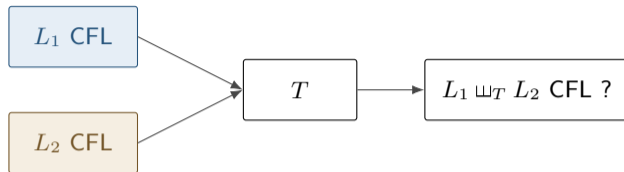
$(s+t)^*$



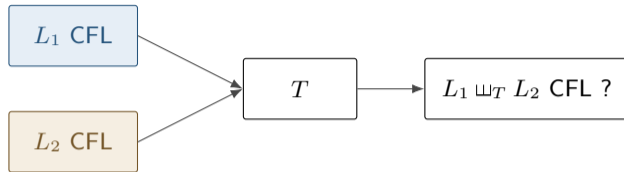
full shuffle

Many other scheduling constraints.

# What can go wrong for CFLs?

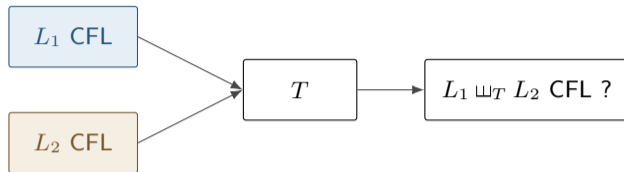


# What can go wrong for CFLs?



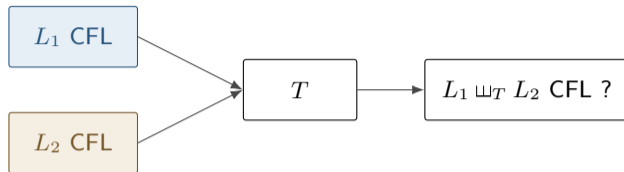
- regular languages: closed under full shuffle

# What can go wrong for CFLs?



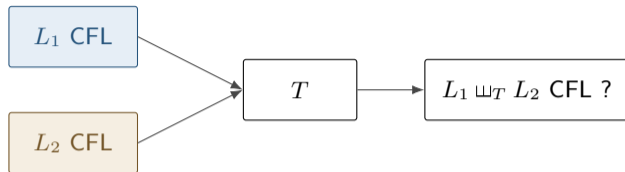
- regular languages: closed under full shuffle
- CFLs: not closed under full shuffle

# What can go wrong for CFLs?



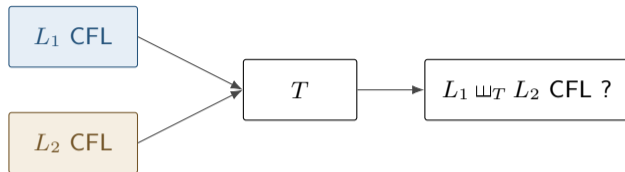
- regular languages: closed under full shuffle
- CFLs: not closed under full shuffle
- CFL  $\sqcup_T$  REG: always CFL, for every regular trajectory  $T$

# What can go wrong for CFLs?



- regular languages: closed under full shuffle
- CFLs: not closed under full shuffle
- CFL  $\sqcup_T$  REG: always CFL, for every regular trajectory  $T$
- test classes without REG: CFL' and DCFL'

# What can go wrong for CFLs?



- regular languages: closed under full shuffle
- CFLs: not closed under full shuffle
- CFL  $\sqcup_T$  REG: always CFL, for every regular trajectory  $T$
- test classes without REG: CFL' and DCFL'
  - $\mathcal{C}$ -safe: all  $\mathcal{C}$ -pairs stay CFL
  - $\mathcal{C}$ -hostile: all  $\mathcal{C}$ -pairs leave CFL
  - $\mathcal{C}$ -mixed: both behaviors occur

# Results

## Theorem

Many trajectories including

$s^*t^*s^*t^*$  and  $(st)^*t^*(st)^*t^*$

are CFL'-hostile.

# Results

## Theorem

Many trajectories including

$$s^*t^*s^*t^* \quad \text{and} \quad (st)^*t^*(st)^*t^*$$

are CFL'-hostile.

## Theorem

Given a trajectory  $T$ , it is decidable whether it is

- DCFL'-safe  $s^*t^*$
- DCFL'-hostile  $(s + t)^*$
- DCFL'-mixed  $(st)^*$

# Where we are

1 Trajectories

2 Couplings

3 Consequences for  $CFL'$

4 Consequences for  $DCFL'$

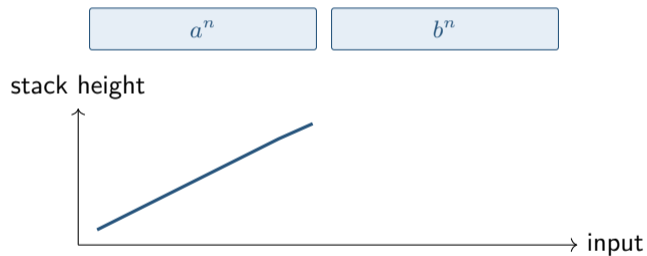
# A PDA must match two regions

Investigate PDA runs.



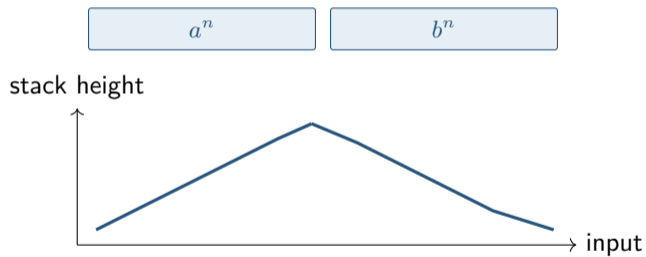
# A PDA must match two regions

Investigate PDA runs.



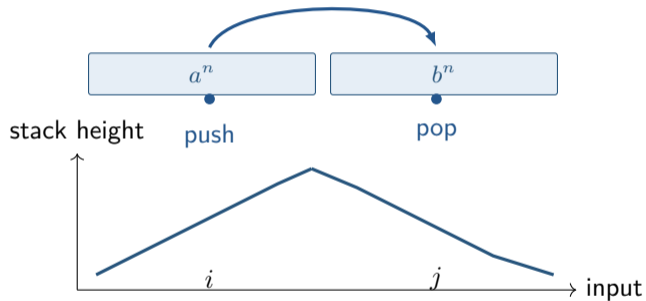
# A PDA must match two regions

Investigate PDA runs.



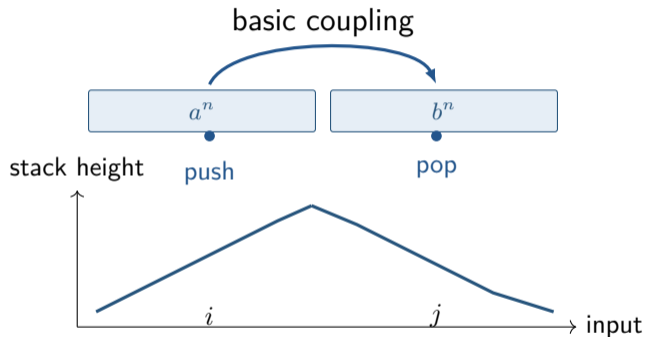
# A PDA must match two regions

Investigate PDA runs.



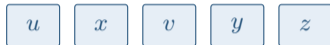
# A PDA must match two regions

Investigate PDA runs.



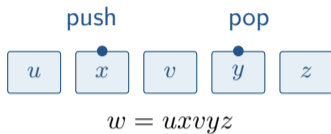
Coupling: element pushed at  $i$  is popped at  $j$

## Couplings: push in one factor, pop in another

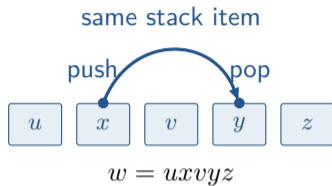


$$w = uxvyz$$

# Couplings: push in one factor, pop in another

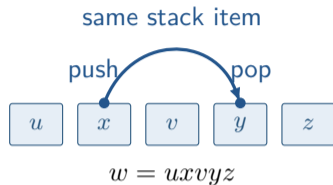


# Couplings: push in one factor, pop in another



Blocks  $x$  and  $y$  coupled for the run

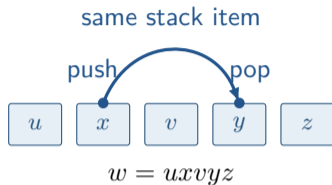
## Couplings: push in one factor, pop in another



Blocks  $x$  and  $y$  coupled for the run

Couplings abstracted from precise accepting runs

## Couplings: push in one factor, pop in another



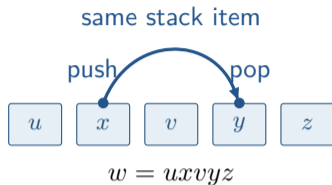
Blocks  $x$  and  $y$  coupled for the run

Couplings abstracted from precise accepting runs

### Definition

Blocks  $x$  and  $y$  are  $A$ -coupled iff every accepting run of  $A$  on  $w$  couples them.

## Couplings: push in one factor, pop in another



Blocks  $x$  and  $y$  coupled for the run

Couplings abstracted from precise accepting runs

### Definition

Blocks  $x$  and  $y$  are  $A$ -coupled iff every accepting run of  $A$  on  $w$  couples them.

forced stack dependency

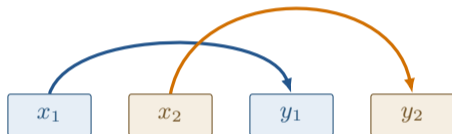
# Crossing couplings violate stack discipline



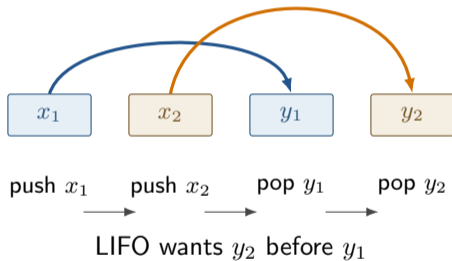
# Crossing couplings violate stack discipline



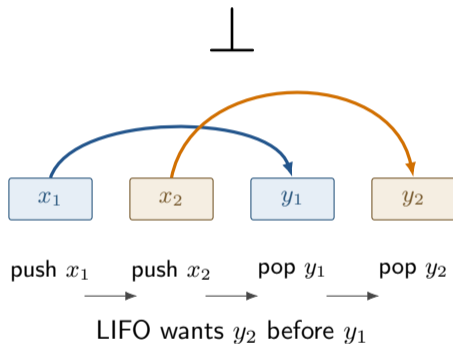
# Crossing couplings violate stack discipline



# Crossing couplings violate stack discipline



# Crossing couplings violate stack discipline



# The Coupling Lemmas provide the arcs

## Coupling Lemma



- $L \in \text{CFL}'$ : forced coupled factors  $x, y$  for any size of  $u, v, z$

# The Coupling Lemmas provide the arcs

Coupling Lemma



Deterministic Coupling Lemma



- $L \in \text{CFL}'$ : forced coupled factors  $x, y$  for any size of  $u, v, z$
- $L \in \text{DCFL}'$ : repeated pattern  $x^n, y^n$  for some  $u, v, z$

# The Coupling Lemmas provide the arcs

Coupling Lemma



Deterministic Coupling Lemma



- $L \in \text{CFL}'$ : forced coupled factors  $x, y$  for any size of  $u, v, z$
- $L \in \text{DCFL}'$ : repeated pattern  $x^n, y^n$  for some  $u, v, z$
- The PDA is not assumed deterministic!

# The Coupling Lemmas provide the arcs

Coupling Lemma



Deterministic Coupling Lemma



- $L \in \text{CFL}'$ : forced coupled factors  $x, y$  for any size of  $u, v, z$
- $L \in \text{DCFL}'$ : repeated pattern  $x^n, y^n$  for some  $u, v, z$
- The PDA is not assumed deterministic!
- trajectory makes these arcs cross

# Resilient couplings survive the shuffle



# Resilient couplings survive the shuffle



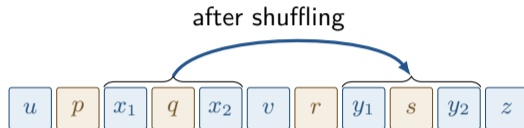
# Resilient couplings survive the shuffle



after shuffling

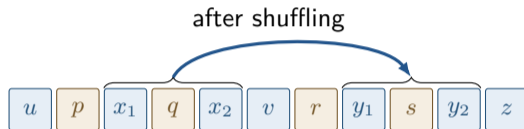


# Resilient couplings survive the shuffle



Resilient coupling: there is a coupling after any shuffle

# Resilient couplings survive the shuffle



Resilient coupling: there is a coupling after any shuffle

**Resilience lemmata:** Given a PDA  $A$  for  $L_1 \sqcup_T L_2$ , the preceding couplings for  $\text{CFL}'$  and  $\text{DCFL}'$  can be assumed  $A$ -resilient.

# Where we are

1 Trajectories

2 Couplings

3 Consequences for CFL'

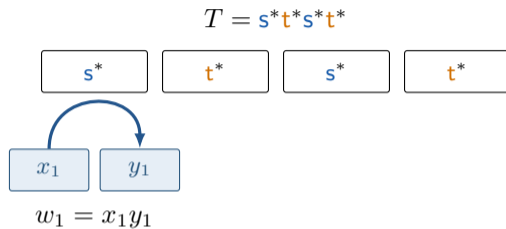
4 Consequences for DCFL'

Example:  $s^*t^*s^*t^*$

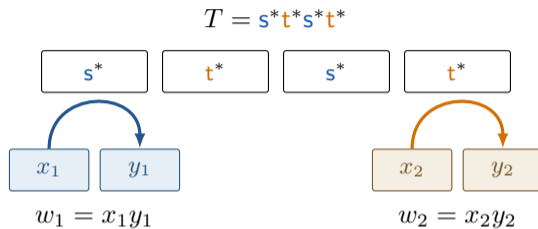
$$T = s^*t^*s^*t^*$$



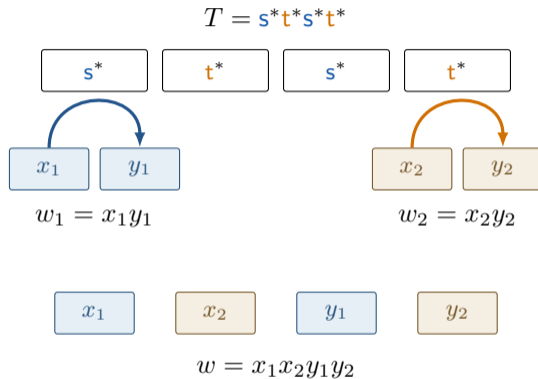
# Example: $s^*t^*s^*t^*$



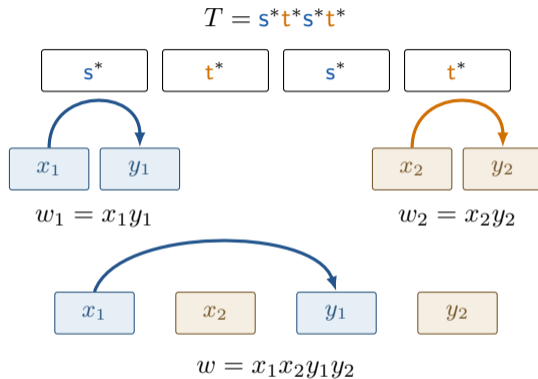
# Example: $s^*t^*s^*t^*$



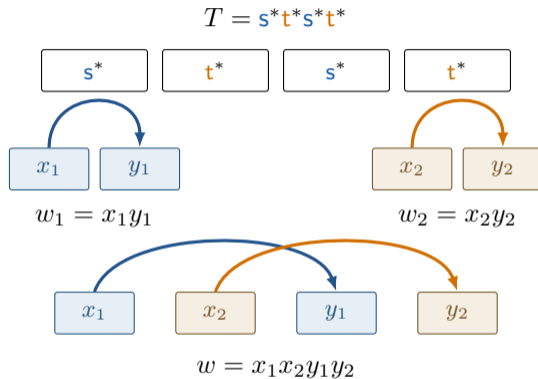
# Example: $s^*t^*s^*t^*$



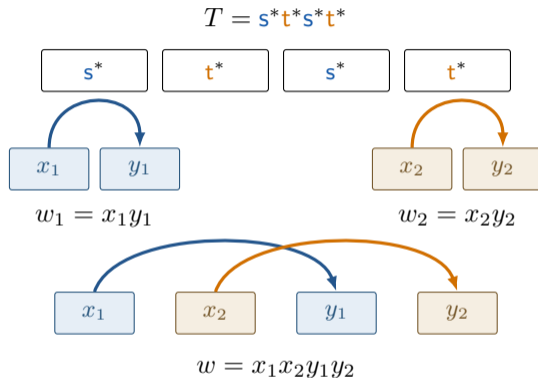
# Example: $s^*t^*s^*t^*$



# Example: $s^*t^*s^*t^*$



# Example: $s^*t^*s^*t^*$



crossing couplings

**Example:**  $(st)^*t^*(st)^*t^*$

$$T = (st)^*t^*(st)^*t^*$$

$(st)^*$

$t^*$

$(st)^*$

$t^*$

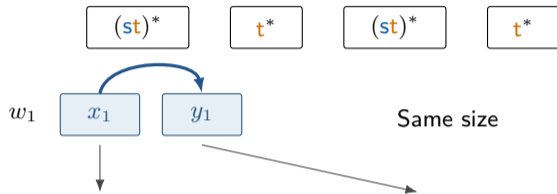
Example:  $(st)^*t^*(st)^*t^*$

$$T = (st)^*t^*(st)^*t^*$$



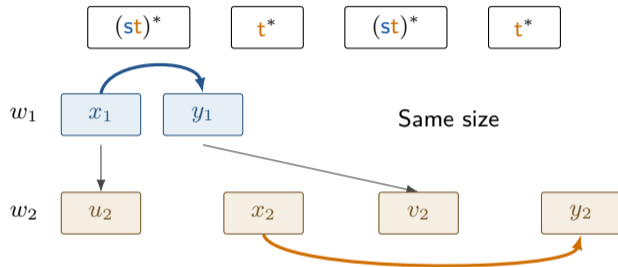
Example:  $(st)^*t^*(st)^*t^*$

$$T = (st)^*t^*(st)^*t^*$$

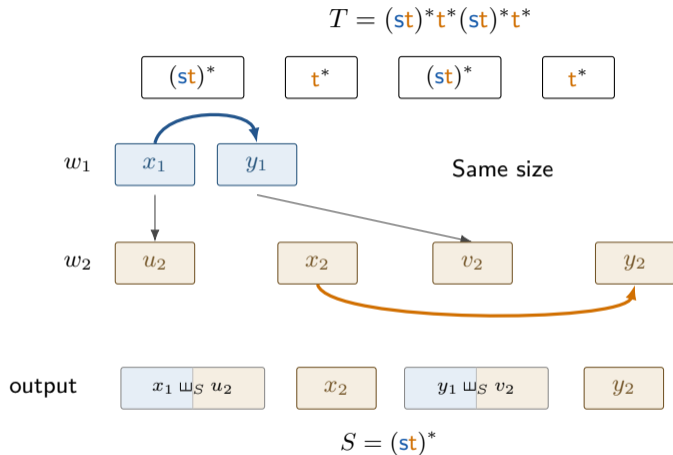


# Example: $(st)^*t^*(st)^*t^*$

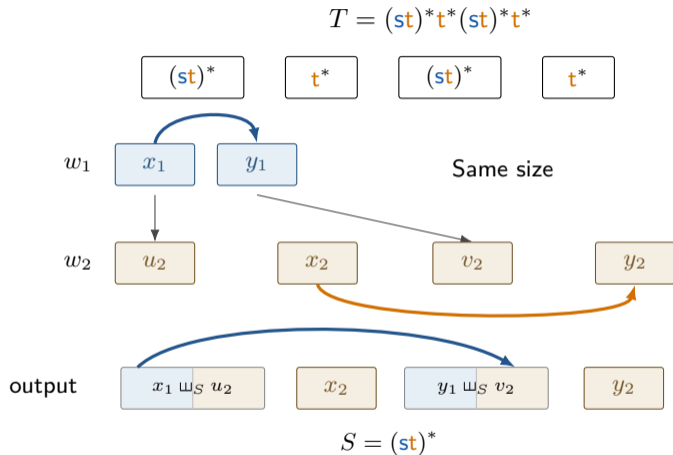
$$T = (st)^*t^*(st)^*t^*$$



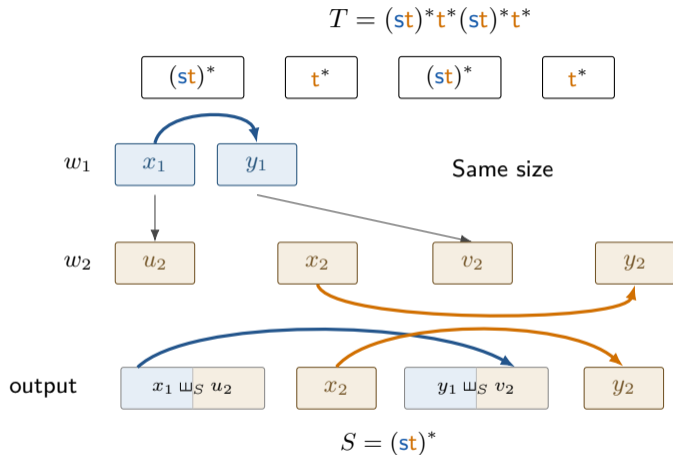
# Example: $(st)^*t^*(st)^*t^*$



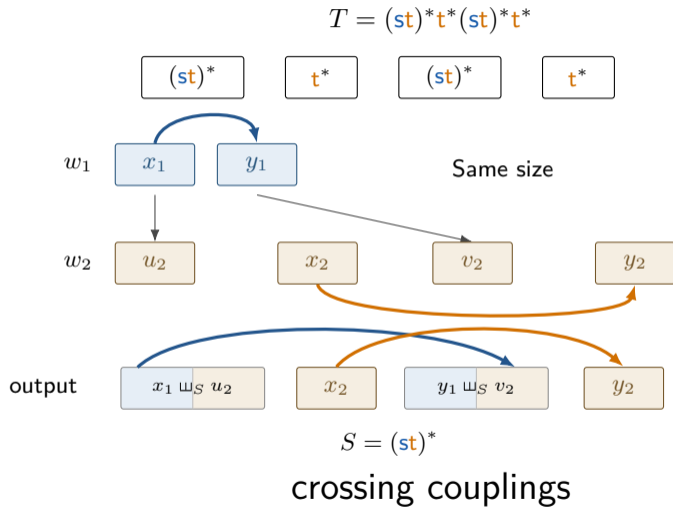
# Example: $(st)^*t^*(st)^*t^*$



# Example: $(st)^*t^*(st)^*t^*$



# Example: $(st)^*t^*(st)^*t^*$



# Formal statement: the first hostile trajectories

## Theorem

The trajectories

$$s^*t^*s^*t^* \quad \text{and} \quad (st)^*t^*(st)^*t^*$$

are CFL'-hostile.

# Formal statement: the first hostile trajectories

## Theorem

The trajectories

$$s^*t^*s^*t^* \quad \text{and} \quad (st)^*t^*(st)^*t^*$$

are  $\text{CFL}'$ -hostile.

Also  $\text{CFL}'$ -hostile: any trajectory containing them, e.g.  $(st^+)^*$ .

# Formal statement: the first hostile trajectories

## Theorem

The trajectories

$$s^*t^*s^*t^* \quad \text{and} \quad (st)^*t^*(st)^*t^*$$

are  $\text{CFL}'$ -hostile.

Also  $\text{CFL}'$ -hostile: any trajectory containing them, e.g.  $(st^+)^*$ .

$\text{CFL}'$ -hostile means: for all  $L_1, L_2 \in \text{CFL}'$ , we have  $L_1 \sqcup_T L_2 \notin \text{CFL}$ .

# Formal statement: the first hostile trajectories

## Theorem

The trajectories

$$s^*t^*s^*t^* \quad \text{and} \quad (st)^*t^*(st)^*t^*$$

are  $\text{CFL}'$ -hostile.

Also  $\text{CFL}'$ -hostile: any trajectory containing them, e.g.  $(st^+)^*$ .

$\text{CFL}'$ -hostile means: for all  $L_1, L_2 \in \text{CFL}'$ , we have  $L_1 \sqcup_T L_2 \notin \text{CFL}$ .

Open for  $\text{CFL}'$  here:  $(s^* + t^*)(st)^*(s^* + t^*)$ .

# Formal statement: the first hostile trajectories

## Theorem

The trajectories

$$s^*t^*s^*t^* \quad \text{and} \quad (st)^*t^*(st)^*t^*$$

are  $\text{CFL}'$ -hostile.

Also  $\text{CFL}'$ -hostile: any trajectory containing them, e.g.  $(st^+)^*$ .

$\text{CFL}'$ -hostile means: for all  $L_1, L_2 \in \text{CFL}'$ , we have  $L_1 \sqcup_T L_2 \notin \text{CFL}$ .

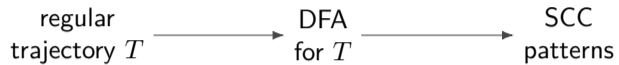
Open for  $\text{CFL}'$  here:  $(s^* + t^*)(st)^*(s^* + t^*)$ .

... but it is  $\text{DCFL}'$ -hostile!

# Where we are

- 1 Trajectories
- 2 Couplings
- 3 Consequences for  $\text{CFL}'$
- 4 Consequences for  $\text{DCFL}'$

# From trajectories to hard SCC-patterns

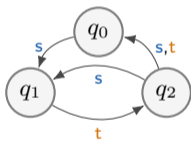


# From trajectories to hard SCC-patterns

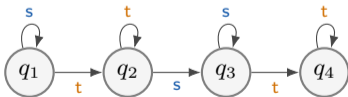


## Hard SCCs

one SCC with both letters



Interleaved SCCs

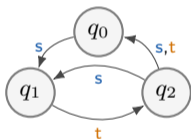


# From trajectories to hard SCC-patterns

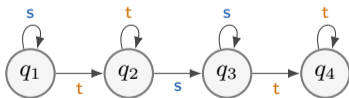


## Hard SCCs

one SCC with both letters



Interleaved SCCs



What does  $hard(A)$  tell us?

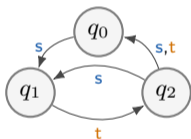
▷  $hard(A) = \emptyset$ : CFL'-safe

# From trajectories to hard SCC-patterns

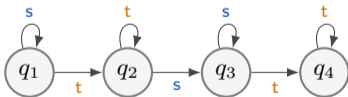


## Hard SCCs

one SCC with both letters



Interleaved SCCs



## What does $hard(A)$ tell us?

▷  $hard(A) = \emptyset$ : CFL'-safe

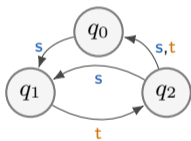
▷  $hard(A) \neq \emptyset$ : crossings possible

# From trajectories to hard SCC-patterns

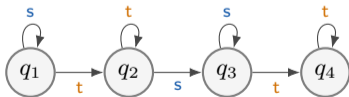


## Hard SCCs

one SCC with both letters



Interleaved SCCs



## What does $hard(A)$ tell us?

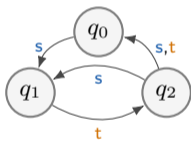
- ▷  $hard(A) = \emptyset$ : CFL'-safe
- ▷  $hard(A) \neq \emptyset$ : crossings possible
- ▷ Question:  $hard(A) \neq \emptyset \Rightarrow$  DCFL'-hostile?

# From trajectories to hard SCC-patterns

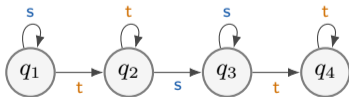


## Hard SCCs

one SCC with both letters



Interleaved SCCs

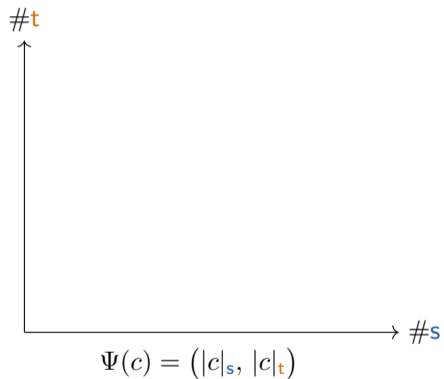


## What does $hard(A)$ tell us?

- ▷  $hard(A) = \emptyset$ : CFL'-safe
- ▷  $hard(A) \neq \emptyset$ : crossings possible
- ▷ Question:  $hard(A) \neq \emptyset \Rightarrow$  DCFL'-hostile?
- ▷ Not always: length pairs matter

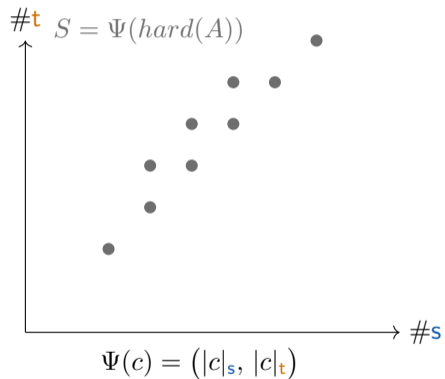
## Lengths become points in $\mathbb{N}^2$

What are the lengths of words shufflable with a hard pattern of  $T$ ?



## Lengths become points in $\mathbb{N}^2$

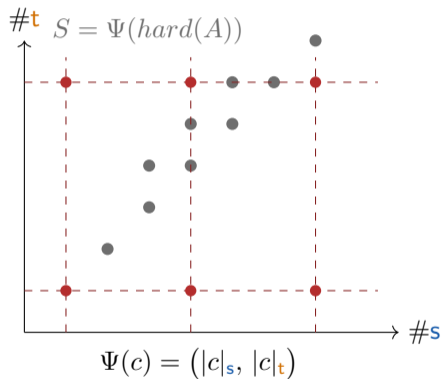
What are the lengths of words shufflable with a hard pattern of  $T$ ?



- $S$ : hard length pairs.

## Lengths become points in $\mathbb{N}^2$

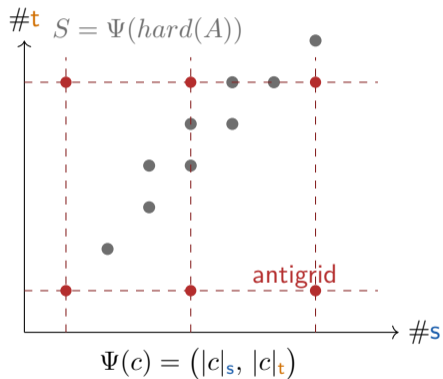
What are the lengths of words shufflable with a hard pattern of  $T$ ?



- $S$ : hard length pairs.
- grid:  $P \times Q$ , with  $P, Q$  infinite arithmetic progressions.

# Lengths become points in $\mathbb{N}^2$

What are the lengths of words shufflable with a hard pattern of  $T$ ?



- $S$ : hard length pairs.
- grid:  $P \times Q$ , with  $P, Q$  infinite arithmetic progressions.
- antigrd for  $S$ : grid disjoint from  $S$ .

# The DCFL' trichotomy

DFA  $A$  for  $T$ ; set  $S = \Psi(\text{hard}(A))$ .

**case**

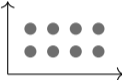
**example trajectory**

**hard-length geometry**

---


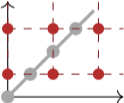
# The DCFL' trichotomy

DFA  $A$  for  $T$ ; set  $S = \Psi(\text{hard}(A))$ .

case	example trajectory	hard-length geometry
<b>1. no antigrid</b> $\Rightarrow$ hostile	$T = s^*t^*s^*t^*$	


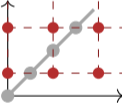

# The DCFL' trichotomy

DFA  $A$  for  $T$ ; set  $S = \Psi(\text{hard}(A))$ .

case	example trajectory	hard-length geometry
<b>1. no antigrid</b> $\Rightarrow$ hostile	$T = s^*t^*s^*t^*$	
<b>2. antigrid exists</b> $\Rightarrow$ mixed	$T = (st)^*$	

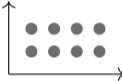
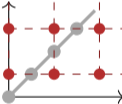

# The DCFL' trichotomy

DFA  $A$  for  $T$ ; set  $S = \Psi(\text{hard}(A))$ .

case	example trajectory	hard-length geometry
<b>1. no antigrid</b> $\Rightarrow$ hostile	$T = s^*t^*s^*t^*$	
<b>2. antigrid exists</b> $\Rightarrow$ mixed	$T = (st)^*$	
<b>3. <math>S = \emptyset</math></b> $\Rightarrow$ safe	$T = s^*t^*s^*$	

# The DCFL' trichotomy

DFA  $A$  for  $T$ ; set  $S = \Psi(\text{hard}(A))$ .

case	example trajectory	hard-length geometry
<b>1. no antigrid</b> $\Rightarrow$ hostile	$T = s^*t^*s^*t^*$	
<b>2. antigrid exists</b> $\Rightarrow$ mixed	$T = (st)^*$	
<b>3. <math>S = \emptyset</math></b> $\Rightarrow$ safe	$T = s^*t^*s^*$	

decidable conditions

# Takeaway

▷ Coupling Lemmas provide couplings.



# Takeaway

▷ Coupling Lemmas provide couplings.



▷ Resilience Lemmas preserve them through shuffles.



# Takeaway

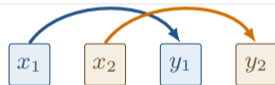
▷ Coupling Lemmas provide couplings.



▷ Resilience Lemmas preserve them through shuffles.



▷ Hard trajectories force crossing couplings.



# Takeaway

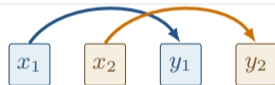
▷ Coupling Lemmas provide couplings.



▷ Resilience Lemmas preserve them through shuffles.



▷ Hard trajectories force crossing couplings.



▷ DCFL' trichotomy.

